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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: P.R. Subramanian et al.
Serial No.: 743,237
Filed: December 22, 2003

: Group Art Unit: 1742
: Examiner: J.P. Sheehan
:

For: METALLIC ALLOY NANOCOMPOSITE FOR
HIGH-TEMPERATURE STRUCTURAL COMPONENTS AND
METHODS OF MAKING

INFORMATION DISCLOSURE STATEMENT

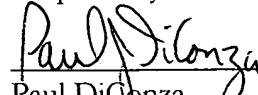
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In an Office Action dated December 7, 2006, the Examiner stated that he had not received copies of references B1 - B4 as listed in Applicants' Information Disclosure Statement (IDS), submitted 22 December 2003. Enclosed herein is a copy of the originally filed IDS, including the copies of the references in question.

Applicants believe that the deficiency in the original IDS is due either to an inadvertent oversight on the part of Applicant or on the part of the Office, and respectfully request that the Examiner consider the B1-B4 references as part of the originally filed IDS.

Respectfully submitted,



Paul DiConza
Reg. No. 48,418
General Electric Company
Building K1, Room 3A60
Telephone: (518) 387-6131

Niskayuna, New York
Thursday, March 08, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pazhayannur Ramanathan Subramanian et al. Group Art Unit:Serial No.: : Examiner:Filed: :For METALLIC ALLOY NANOCOMPOSITE FOR
HIGH-TEMPERATURE STRUCTURAL
COMPONENTS AND METHODS OF MAKING

**"EXPRESS MAIL" MAILING
LABEL NUMBER**
EV 300 767833 US



INFORMATION DISCLOSURE STATEMENT

1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*

2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*

3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*

4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]

7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

8 The required Certification made in item 11 below;

9 ^{or} The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.

10 37 CFR 1.97(d): [on or before issue fee payment]; and

 a) The required Certification is stated in item 11 below; and

 b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

11 Certification

12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

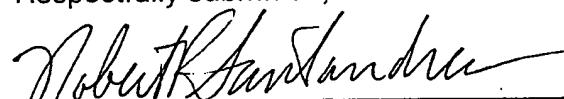
An original and two (2) copies of this document are enclosed.

December 19, 2003

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
Customer Number: 006147

Respectfully submitted,



Attorney - Robert P. Santandrea

Registration No. 45,072

Phone: (518) 387-6304
or (518) 387-7122

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| FORM PTO-1449 (REV. 7-80) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. 136721-1 | SERIAL NO. |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> | | P-5 Applicant Pazhayannur Ramanathan Subramanian et al. | |
| | | Filing Date | Group Art Unit |

U.S. PATENT DOCUMENTS & PATENT APPLICATION PUBLICATION

| *EXAMINER INITIAL | DOCUMENT NUMBER | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|--|----------|----------------|-------|----------|----------------------------|
| A1 | US2003/0035955 | | 2/20/03 | Yadav | | | |
| A2 | 5,006,163 | | 4/9/91 | Benn et al. | | | |
| A3 | 5,049,355 | | 9/17/91 | Gennari et al. | | | |
| A4 | 5,462,903 | | 10/31/95 | Rousset et al. | | | |
| A5 | | | | | | | |
| A6 | | | | | | | |
| A7 | | | | | | | |
| A8 | | | | | | | |
| A9 | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|----|-----------------|---------|----------|-------|----------|----------------------------|
| B1 | WO 97/07917 | 3/6/97 | PCT | | | |
| B2 | WO 01/68936 | 9/20/01 | PCT | | | |
| B3 | WO 03/031323 | 4/17/03 | PCT | | | |
| B4 | EP 0 854 765 | 4/4/01 | European | | | |

OTHER INFORMATION (including Author, Title, Date, Permanent Pages, etc.)

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| C1 | |
| C2 | |
| C3 | |
| C4 | |
| C5 | |
| C6 | |
| C7 | |

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| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant



Serial No. 10/743,237

Docket No. 136721-1

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EXPLANATION UNDER 37 CFR § 1.98 (a)(3)(i)

WO 01/68936, the reference listed as B2 on Applicants' Information Disclosure Statement of 22 December 2003, is not in the English language. The U.S. Patent Application No. 09/926,486, the US national stage application of this Patent Cooperative Treaty (PCT) filing, is being supplied to facilitate translation of the disclosed PCT application. As presently understood by the undersigned, the reference is relevant in that it presents a method for manufacturing a composite material in which particles are dispersed throughout a base material by evaporation of base and dispersion materials simultaneously or alternately.

Respectfully submitted,

Paul DiCenzo
Reg. No. 48,418
General Electric Company